

2681 #7 BT 7-7-03

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14990	
In Re Application Of: <b>Masaru Hirata</b>				
Serial No. 09/974,956	Filing Date October 11, 2001	Examiner unassigned	Group Art Unit 2681	
Title: <b>MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN CDMA COMMUNICATION SYSTEM</b>				
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  <b>37 CFR 1.97(b)</b>			<b>RECEIVED</b> JUN 24 2003 Technology Center 2600	
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
<b>OR</b>				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14990

In Re Application: Masaru Hirata

Serial No.  
09/974,956

Filing Date  
October 11, 2001

Examiner  
unassigned

Group Art Unit  
2681

**MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN CDMA  
COMMUNICATION SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

\_\_\_\_\_  
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\_\_\_\_\_  
Signature

Dated: June 18, 2003

Paul J. Esatto, Jr., Reg. No. 30,749  
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cc:

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b>	Masaru HIRATA	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	09/974,956	<b>Group Art Unit:</b>	2681
<b>Filed:</b>	October 11, 2001	<b>Docket:</b>	14990
<b>For:</b>	MOBILE STATION AND METHOD FOR ALLOCATING FINGER THEREOF IN CDMA COMMUNICATION SYSTEM	<b>Dated:</b>	June 18, 2003

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

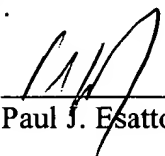
1. Japanese Laid-Open Patent Application 9-181704 dated July 11, 1997.
2. Published Japanese translation of PCT (WO) 2000-504173 dated April 4, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on June 18, 2003.

Dated: June 18, 2003

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

3. Japanese Laid-Open Patent Application 11-98056 dated April 9, 1999.
4. Japanese Laid-Open Patent Application 2000-92026 dated March 31, 2000.
5. Japanese Laid-Open Patent Application 11-274978 dated October 8, 1999.
6. English Language Abstract of International Laid-Open Patent Application WO96/21294 dated July 11, 1996.
7. International Laid-Open Patent Application WO00/25439 dated May 4, 2000.
8. Japanese Laid-Open Patent Application 2002-101019 dated April 5, 2002.

The references were cited in a Japanese Office Action dated March 19, 2003 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.

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PJE:ae

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Docket Number (Optional) 14990	Application Number 09/974,956
	Applicant(s) Masaru Hirata	
	Filing Date October 11, 2001	Group Art Unit 14990

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



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**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	9-181704	7/11/97	Japan				
	2000-504173	4/4/00	Japan				
	11-98056	4/9/99	Japan				
	2000-92026	3/31/00	Japan				
	11-274978	10/8/99	Japan				
	WO96/21294	7/11/96	PCT				
	WO00/25439	5/4/00	PCT				
	2002-101019	4/5/02	Japan				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.